

Information Disclosure Statement
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Form PTO-1449 (Modified)	ATTY DOCKET NO. B-4516 619562-5	U.S. SERIAL NO. 10/080,466
LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANTS Siani Lynne Pearson, et al.	
	FILING DATE February 22, 2002	GROUP 2131

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EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUB- CLASS	FILING DATE or 102(e) DATE IF APPROPRIATE
JP	5,359,659	10/94	Rosenthal	380	4	
	5,361,359	11/94	Tajalli et al.	395	700	
	5,404,532	4/95	Allen, et al.	395	700	
	5,421,006	5/95	Jablon, et al.	395	575	
	5,444,850	8/95	Chang	395	200.1	
	5,473,692	12/95	Davis	380	25	
	5,572,590	11/96	Chess	380	4	
	5,619,571	4/97	Sandstrom, et al.	380	4	
	5,680,547	10/97	Chang	395	200.01	
	5,694,590	12/97	Thuraisingham, et al.	395	600	
	5,809,145	9/98	Slik et al.	380	25	
	5,815,665	9/98	Teper et al.	395	200.59	
	5,844,986	12/98	Davis	380	4	
	5,867,646	2/99	Benson, et al.	395	186	
	5,903,732	5/99	Reed et al.	395	200.59	
	5,933,498	8/99	Schneck, et al.	380	4	
	5,987,608	11/99	Roskind	713	200	
	6,006,332	12/99	Rabne, et al.	713	201	
	6,023,765	2/00	Kuhn	713	200	
	6,078,948	6/00	Podgorny et al.	709	204	
V	6,081,830	6/00	Schindler	709	204	

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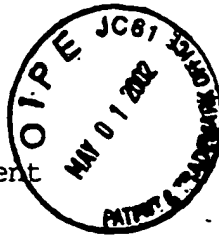
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JP	6,289,462 B1	9/01	McNabb et al.	713	201	9/28/99
↓	6,292,900 B1	9/01	Ngo et al.	713	200	11/30/98
↓	6,330,670 B1	12/01	England et al.	713	2	1/8/99

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JP	2 187 855	6/97	CA			
↓	0 304 033 A2	2/89	EP			
↓	0 421 409 A2	4/91	EP			
↓	0 510 244 A1	10/92	EP			
↓	0 825 511 A2	2/98	EP			
↓	0 849 657 A1	6/98	EP			
↓	0 849 680 A2	6/98	EP			
↓	0 895 148 A1	2/99	EP			
↓	0 926 605 A1	6/99	EP			
↓	1 030 237 A1	8/00	EP			
↓	1 055 990 A1	11/00	EP			
↓	1 056 010 A1	11/00	EP			
↓	93/25024	12/93	WO			
↓	95/24696	9/95	WO			
↓	95/27249	10/95	WO			
↓	98/15082	4/98	WO			
↓	98/36517	8/98	WO			
↓	98/44402	10/98	WO			
↓	98/45778	10/98	WO			
↓	00/48063	8/00	WO			
↓	00/73880	12/00	WO			
↓	00/73904	12/00	WO			
↓	01/13198	2/01	WO			
↓	01/23980	4/01	WO			

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JP		01/65334	9/01	WO			
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JP		Anderson, R. and Markus Kuhn, "Tamper Resistance - a Cautionary Note," <i>Proceedings of the Second USENIX Workshop on Electronic Commerce</i> , Oakland CA, pp. 1-11 (November 1996)
		Berger, J.L., et al., "Compartmented Mode Workstation: Prototype Highlights," <i>IEEE Transactions on Software Engineering</i> , Vol. 16, No. 6, pp. 608-618 (June 1990).
		Bontchev, V., "Possible Virus Attacks Against Integrity Programs and How to Prevent Them," <i>Virus Bulletin Conference</i> , pp. 131-141 (September 1992).
		Chaum, D., "Security Without Identification: Transaction Systems to Make Big Brother Obsolete," <i>Communications of the ACM</i> , Vol. 28, No. 10, pp. 1030-1044 (October 1985)
		"HP Virtualvault - Trusted Web-Server Platform - Product Brief," Hewlett-Packard, 6 pages, located at Internet address < http://www.hp.com/security/products/virtualvault/papers/brief4.0 >.
		IBM Corp., "System for Detecting Undesired Alteration of Software," <i>IBM Technical Disclosure Bulletin</i> , Vol. 32, No. 11, pp. 48-50 (April 1990).
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		Schneck, P.B., "Persistent Access Control to Prevent Piracy of Digital Information," <i>Proceedings of the IEEE</i> , Vol. 87, No. 7, pp. 1239-1250 (July 1999).
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JP	Trusted Computing Platform Alliance, Main Specification Version 1.0, 284 pages (January 25, 2001).
↓	Wiseman, S., et al, "The Trusted Path between SMITE and the User," IEEE Proceedings of the Symposium on Security and Privacy, pp. 147-155 (1988).

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Joseph Pan	3/18/06

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EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE or 102(e) DATE IF APPROPRIATE
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	2002/0023212 A1	Pub- lished: 2/2002	Proudlar	713	164	8/1/01
	5,032,979 A	7/1991	Hecht et al.	364	200	RECEIVED MAR 19 2003 Technology Center 2100
	5,038,281	8/1991	Peters	364	200	
	5,960,177	9/1999	Tanno	395	200.59	
	6,067,559	5/2000	Allard et al.	709	202	
	6,138,239	10/2000	Veil	713	200	
	6,272,631 B1	8/2001	Thomlinson et al.	713	155	
✓	6,275,848 B1	8/2001	Arnold	709	206	

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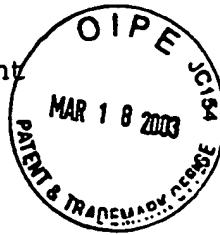
	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO
JP	application no.: 0020441.2	filed: 8/2000	GB			
	0 893 751 A1	1/1999	EP			
	0 992 958 A2	4/00	EP			
	1 049 036 A2	11/2000	EP			
	1 076 279 A1	2/2001	EP			
	1 107 137 A2	6/2001	EP			
	2 317 476 A	3/1998	GB			
✓	2 361 153 A	10/2001	GB			
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	"Norton AntiVirus 5.0 Delux," PC Magazine Online; The 1999 Utility Guide: Desktop Antivirus, pp 1-2, Internet: < http://www.zdnet.com/pcmag/features/utilities99/deskav07.html > (Retrieved November 30, 2001).	
	"Secure Execution Environments, Internet Safety through Type-Enforcing Firewalls," Internet: < thp://www.ghp.com/research/nailabs/secure-execution/internet-safety.asp > (Retrieved April 24, 2002).	
	Sophos Anti-Virus for Notes/Domino Release Notes, Version 2.0, pp 1-2, Internet: < http://www.sophos.com/sophos/products/full/readmes/readnote.txt > (Retrieved November 30, 2001).	
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Joseph Pan	3/18/06

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LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANT(S) Pearson, et al.	
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EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE or 102(e) DATE IF APPROPRIATE
JP	9/979,902		Proudlar, et al.			11/27/2001
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	10/080,476		Proudlar, et al.			2/22/2002
	10/080,477		Brown, et al.			2/15/2002
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	10/194,831		Chen, et al.			7/11/2002
	10/208,718		Chen, et al.			7/29/2002
	10/240,138		Choo			9/26/2002
	2001/0037450 A1	11/2001	Metlitski, et al.	713	152	
	2002/0184520 A1	12/2002	Bush, et al.	713	200	
	2002/0184486 A1	12/2002	Kerschenbaum, et al.	713	150	
	2004/0045019 A1	3/2004	Bracha, et al.	719	332	
	2004/0148514 A1	7/2004	Fee, et al.	713	200	
	2005/0256799	11/2005	Warsaw, et al.	705	037	
	4,799,156	1/1989	Shavit, et al.	705	26	
	4,926,476	5/1990	Covey	713	164	
	5,144,660	9/1992	Rose	726	24	
	5,440,723	8/1995	Arnold, et al.	395	181	

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE or 102(e) DATE IF APPROPRIATE
JP	5,504,814 A	4/1996	Miyahara	713	164	
	5,530,758 A	6/1996	Marino, et al.	713	150	
	5,841,869 A	11/1998	Merkling, et al.	713	164	
	5,844,986 A	12/1998	Davis	380	4	
	6,012,080	1/2000	Ozden, et al.	718	102	
	6,081,894	6/2000	Mann	713	188	
	6,327,652 B1	12/2001	England, et al.	713	2	
	6,334,118	12/2001	Benson	713	167	
	6,367,012 B1	4/2002	Atkinson, et al.	713	176	
	6,393,412	5/2002	Deep	705	400	
	6,477,702 B1	11/2002	Yellin, et al.	717	126	
	6,513,156 B2	1/2003	Bak, et al.	717	151	
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	6,671,716	12/2003	Diedrehsen, et al.	709	203	
	6,732,276 B1	5/2004	Cofler, et al.	713	200	
	6,775,779 B1	8/2004	England, et al.	713	200	
	6,931,545	8/2005	Ta, et al.	713	156	

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LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANT(S) Pearson, et al.	
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	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO
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	0 510 244 A1	10/1992	EP			
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	1 056 010 A1	11/2000	EP			
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	0 580 350 A1	1/1994	EP			
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	"System for Detecting Undesired Alteration of Software," IBM Technical Bulletin, Vol. 32, No. 11 pp. 48-50 (April 1990)	
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Form PTO-1449 (M03-1)	ATTY DOCKET NO. B-4516 619562-5	U.S. SERIAL NO. 10/080,466
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	10/175,183		Griffin et al.			6/18/2002
	10/175,185		Pearson et al.			6/18/2002
	10/175,395		Pearson et al.			6/18/2002
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	10/240,137		Dalton et al.			9/26/2002
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	6,125,114	9/2000	Blanc, et al.	370	389	
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	6,707,440 B1	3/2004	Kim, et al.	726	24	
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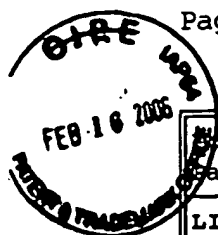
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JP	6,681,304	1/2004	Vogt, et al.	711	164	

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Information Disclosure Statement
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LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANT(S) Pearson, et al.	
	FILING DATE February 22, 2002	GROUP 2135

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE or 102(e) DATE IF APPROPRIATE
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	5,787,175	7/1998	Carter	713	165	
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